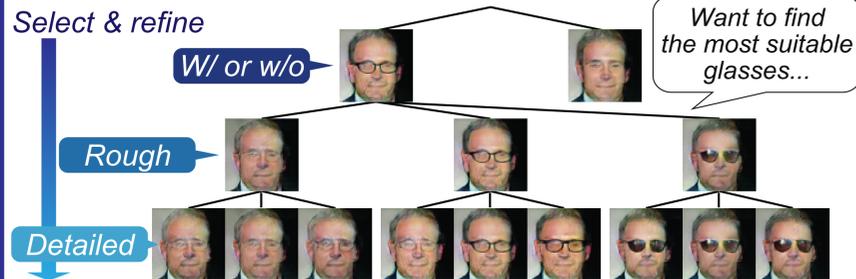


## 1 Introduction

### Motivation

- Create generative model that enables image generation to be controlled in *coarse-to-fine manner*



### Contributions

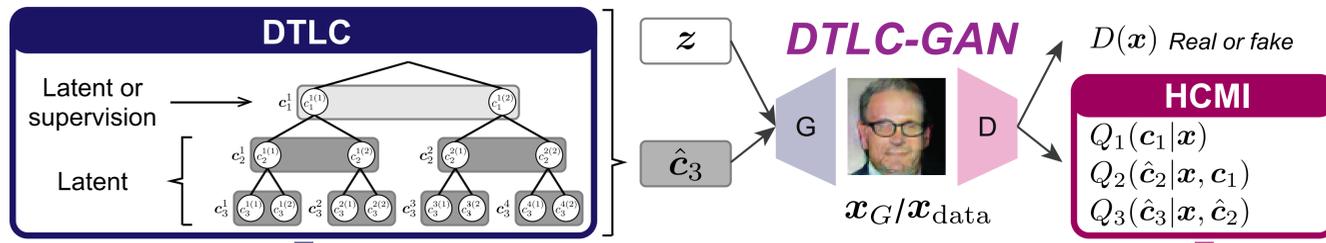
- Derive this novel functionality in *deep generative model*
- Propose new extension of GAN called **DTLC-GAN**
- Discover *hierarchically interpretable representations* with either *unsupervised or weakly supervised* settings

## 2 Related Work

### Relationship to previous GANs

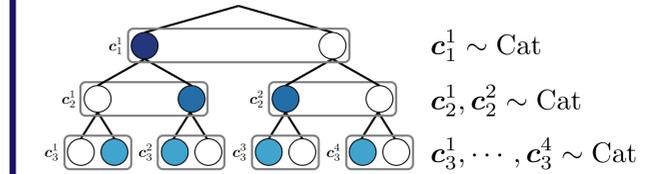
# of disentangled latent layers	Unsupervised	(Weakly) Supervised
0	 GAN [Goodfellow+2014] Not disentangled	 CGAN [Mirza+2014] AC-GAN [Odena+2017] Restricted to supervision
1	 InfoGAN [Chen+2016]	 CFGAN [Kaneko+2017] Limited to discovering <i>one-layer latent</i> representations
2, 3, ...	<b>DTLC-GAN</b> Discover <i>multi-layer latent</i> representations (Hierarchically interpretable)	

## 3 Proposed: DTLC-GAN (Decision Tree Latent Controller GAN)

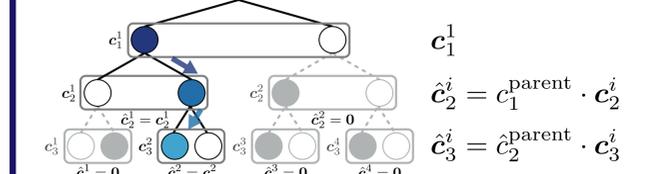


### Decision Tree Latent Controller

- In training phase, latent codes are randomly sampled with hierarchical inclusion constraints
- Step 1.** In each layer, each code is randomly sampled from categorical distribution independently



- Step 2.** Select child node codes conditioned on parent node codes



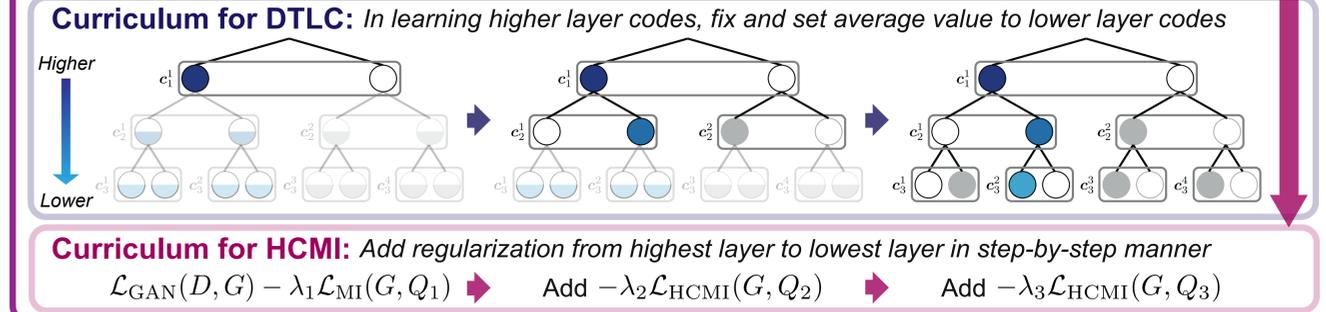
- Step 3.** Generate image:  $x_G = G(z, \hat{c}_3)$

### Hierarchical Conditional Mutual Information Regularization

- Discover hierarchically disentangled representations on basis of *information gain*
- Regularization for  $c_1$**
- Unsupervised** setting ( $c_1$  is latent)  
 $\mathcal{L}_{\text{MI}}(G, Q_1) = \log Q_1(c_1|x_G) \leq I(c_1; x_G)$   
*Mutual information (InfoGAN)*
  - Weakly supervised** setting ( $c_1$  is supervised)  
 $\mathcal{L}_{\text{AC}}(G, Q_1) = \log Q_1(c_1|x_G) + \log Q_1(c_1|x_{\text{data}})$   
*Auxiliary classifier (AC-GAN)*
- Regularization for  $\hat{c}_2, \dots, \hat{c}_L$**
- $\mathcal{L}_{\text{HCM}}(G, Q_l) = \log Q_l(\hat{c}_l|x_G, \hat{c}_{l-1}) \leq I(\hat{c}_l; x_G|\hat{c}_{l-1})$   
*Hierarchical conditional mutual information*
- Full objective**
- $\mathcal{L}_{\text{Full}}(D, G, Q_1, \dots, Q_L) = \mathcal{L}_{\text{GAN}}(D, G) - \lambda_1 \mathcal{L}_{\text{MI/AC}}(G, Q_1) - \sum_{l=2}^L \lambda_l \mathcal{L}_{\text{HCM}}(G, Q_l)$
- Minimized for  $G, Q_1, \dots, Q_L$  and maximized for  $D$

**Challenge for learning**  
 "How to avoid confusion between *inter-layer* and *intra-layer* disentanglement"

### Curriculum Learning



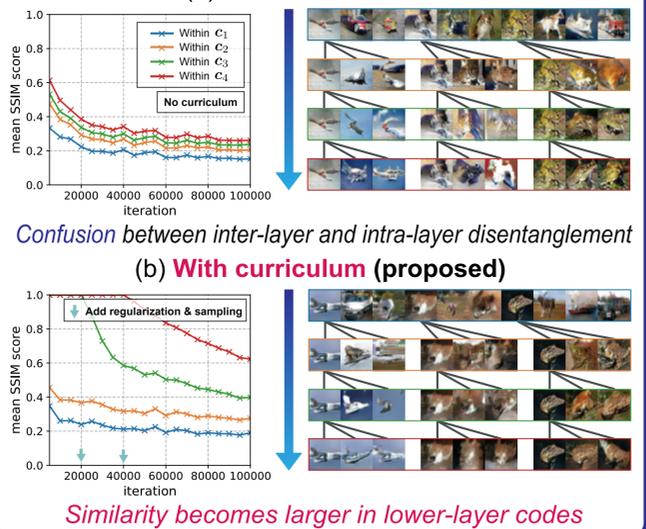
## 4 Experiments

### 1. Representation comparison

- Dataset:** MNIST (Unsupervised)
  - Categories:** 20 (flat) vs. 10 x 2 (hierarchical)
- (a) **InfoGAN:** 20 flat categories
- 7 3 4 5 0 1 8 1 7 9 0 4 2 5 2 9 8 6 3 6  
 7 3 4 5 0 1 8 1 7 9 0 4 2 5 2 9 8 6 3 6  
 7 3 4 5 0 1 8 1 7 9 0 4 2 5 2 9 8 6 3 6
- $c_1^1$ : Fail to disentangle digit types and font styles
- (b) **DTLC-GAN (proposed):** 10 x 2 hierarchical categories
- 1 1 0 0 5 5 2 2 9 9 7 7 4 4 6 6 8 8 3 3  
 1 1 0 0 5 5 2 2 9 9 7 7 4 4 6 6 8 8 3 3  
 1 1 0 0 5 5 2 2 9 9 7 7 4 4 6 6 8 8 3 3
- $c_1^1$ : Digit types  
 $c_2^1, \dots, c_2^{10}$ : Font styles
- Hierarchically Interpretable*

### 2. Ablation study on curriculum learning

- Dataset:** CIFAR-10 (Weakly supervised)
  - Categories:** 10 x 3 x 3 x 3 = 270
  - Evaluation metric:** For each layer, measure inter-category similarity on basis of SSIM
  - Supervision:** airplane, automobile, ..., truck (10 classes)
- Latent: 10 x 3 x 3 x 3 = 270 categories
- (a) **Without curriculum**
- 
- (b) **With curriculum (proposed)**
- 



### 3. Effect on image quality (w/ WGAN-GP)

- Dataset:** CIFAR-10 (Unsupervised/supervised)
  - Categories:** 10 x 3<sup>L</sup> (L = 0, ..., 4) (= 810 in L = 4)
  - Evaluation metric:** Inception score [Salimans+2016]
- | Model                      | Unsupervised | Supervised  |
|----------------------------|--------------|-------------|
| WGAN-GP                    | 7.86 ± .07†  | -           |
| AC/Info-WGAN-GP            | 7.97 ± .09   | 8.42 ± .10† |
| DTLC <sup>2</sup> -WGAN-GP | 8.03 ± .12   | 8.44 ± .10  |
| DTLC <sup>3</sup> -WGAN-GP | 8.15 ± .08   | 8.56 ± .07  |
| DTLC <sup>4</sup> -WGAN-GP | 8.22 ± .11   | 8.80 ± .08  |
- †Baseline: WGAN-GP ResNet [Gulrajani+2017]
- Scores improve as # of layers becomes larger

### 4. Extension to continuous codes

- Dataset:** 3D Faces (Unsupervised)
  - Categories:** 5 (discrete) x 1 (continuous)
- 
- Discrete Continuous
- Second-layer codes learn continuous representations conditioned on first-layer codes

### 5. Application to image retrieval

- Dataset:** CelebA (Weakly supervised)
  - Categories:** 1 (w/o attribute) + 1 (w/ attribute) x 3 x 3
- Query
- 
- Retrieved
- $c_1$  ✓ Bangs ✓ Hair Color ✓ Hair Style
- $\hat{c}_2$  ✓ Bangs ✓ Hair Color ✓ Hair Style
- $\hat{c}_3$  ✓ Bangs ✓ Hair Color ✓ Hair Style
- Top 3 Top 3
- Details match more in lower-layer codes